證券交收指示
Securities Settlement Instruction Form

客戶名稱
Client's Name　　:_____

帳戶號碼
Account No.　　:_____

☐ **接收 Receive**　　　☐ **交付 Deliver**　　　交收日期 Settlement Date: _____

☐ **投資者戶口交收指示 ISI**　　　投資者戶口編號 Investor Account ID: _____

☐ **股票交收指示 SI**

交收對手資料 Counter Party Information

參與者名稱 Broker Name:　_____　　參與者編號 Participant ID:　_____

聯絡人 Contact Person:　_____　　聯絡電話 Contact No. :　_____

股票代號 Stock Code	股票名稱 Stock Name	股票數量 Quantity	交收方式 Settlement Method	交收金額(港幣) Settlement Amount (HKD)	費用(港幣) Fee (HKD)
			☐ 無須付款 FOP ☐ 貨銀兩訖 DVP ☐ 實時貨銀兩訖 RVP		
			☐ 無須付款 FOP ☐ 貨銀兩訖 DVP ☐ 實時貨銀兩訖 RVP		
			☐ 無須付款 FOP ☐ 貨銀兩訖 DVP ☐ 實時貨銀兩訖 RVP		
			總金額 Total Amount:		

交收指示目的 Purpose of SI / I SI

☐　經紀受托管交收 Broker - Custodian Transaction
☐　股票組合轉移 Portfolio Movement
☐　經紀與投資者之間交收 Investor - Intermediary

茲授權貴公司依照本人/吾等作出以下經中央結算系統之交收指示。This is to authorize your Company to act in accordance with my/our following settlement instruction through CCASS.

請在本人/吾等之證券戶口中扣除一切開支。 Please debit the captioned account for any costs or charges so incurred

本人/吾等知道如欲即日完成交收程序，務必於當日下午二時前填妥此文件交付交收部。I/We understands that Instructions for same day settlement have to be reached our Settlement Department *before 2:00p.m. on the settlement day*.

本人/吾等確認下述股票收益人身份並沒有因進行以下股票交收指示而改變。I/We confirm that there is no change of beneficial ownership involved in this transfer.

本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向貴公司作出彌償及使之獲得彌償。I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may by suffered or incurred by you in connection with this settlement instruction.

(V.S)

_____　　日期 Date: _____

客戶簽署 Client's Signature

本公司專用 For Office Use Only						
Handled by	**Approved by**	**Checked by**	**System**		**CCASS**	
C S:	R O:	Account:	Maker:	Checker:	Maker:	Checker:
Date:	Date:	Date	Date:	Date:	Date:	Date: